



## The role of ACT and FOX genes in *Klebsiella pneumoniae* strains isolated from hospitalized patients

Shokouh Amraei<sup>1\*</sup>, Gita Eslami<sup>2</sup>, Arezou Taherpour<sup>3</sup>, Ali Hashemi<sup>4</sup>

<sup>1</sup>Department of parasitic, Lorestan University of Medical Sciences, Khoramabad, Iran

<sup>2</sup>Department of Microbiology, Faculty of Medicine, Shahid Beheshti University of Medical Sciences, Tehran, Iran

<sup>3</sup>Department of Microbiology, Faculty of Medicine, Kurdistan University of Medical Sciences, Sanandaj, Iran

<sup>4</sup>Department of Microbiology, Faculty of Medicine, Shahid Beheshti University of Medical Sciences, Tehran, Iran

### ARTICLE INFO

#### Review paper

Article history:

Received: 13 Aug 2022

Revised: 25 Aug 2022

Accepted: 27 Aug 2022

ePublished: 29 Aug 2022

#### Keywords:

AmpC enzymes, ACT and FOX genes, Phenotypic diagnosis, Drug-resistant bacteria, Hospitalized patients, Hospital isolates of *K. pneumoniae*

### ABSTRACT

*Klebsiella pneumoniae* as a main opportunistic pathogen is a common cause of health-care-associated bacterial infections, which has shown high levels of drug resistance specifically in hospitalized patients. These bacteria are well-known for their ability to produce biofilm. The increase in the emergence of multidrug-resistance bacterial strains among hospital isolates of *K. pneumoniae* has reduced the efficiency of the treatment of infections resulted from these bacteria. Beta-lactamase enzymes such as AmpC enzymes are one of the strategies of antibiotic resistance in *K. pneumoniae*. In this way, this review has tried to discuss the advances and challenges of phenotypic diagnosis of AmpC enzymes and the identification of ACT and FOX genes among clinical isolates isolated from patients. Gram-negative bacteria with AmpC  $\beta$ -lactamases can resist to several antibiotics including cephalosporins, aminopenicillins, ureidopenicillins, carboxypenicillins, monobactams, and cephalosporins. The existence of beta-lactamase genes ACT and FOX is one of the effective reasons for drug resistance in hospital strains of *K. pneumoniae*. According to the results of this study, control infection and prevent the spread of drug-resistant bacteria, there is a need for careful management in drug administration and identification of resistant isolates.

DOI: <https://doi.org/10.22034/mnba.2022.155447>

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### Introduction

Antibiotic resistance in pathogenic bacteria can be very complicated issue specifically in the case of health-care-associated bacterial infections [1]. *K. pneumoniae*, a main opportunistic pathogen with resistance to a broad range of antibiotics can lead to health-care-associated bacterial infections particularly in hospitalized patients. *K. pneumoniae* isolates are non-motile and usually encapsulated form. They ferment some sugars such as lactose and sucrose [2]. Most strains produce gas from sugars, and gas production from starch is an important diagnostic feature [3]. Almost all of them grow in citrate and Moller's KCN medium. These bacteria are found in the intestine and upper respiratory tract of humans and animals. Their G+C content is 52-58% and *K. pneumoniae* is the indicator bacterium of this group of bacteria. This bacterium is in the

Enterobacteriaceae family [4]. According to the latest classification, *K. pneumoniae* subsp *rhinoscleromatis*, *K. pneumoniae* subsp *pneumoniae*, and *K. pneumoniae* subsp *ozaenae* are included in *K. pneumoniae* species [5].

### Morphology and growth properties

The members of the *Klebsiella* genus are shorter and thicker than other members of the Enterobacteriaceae family and are about 0.6-6.0  $\mu\text{m}$  in length and 0.3-1.0  $\mu\text{m}$  in width [6]. They are seen in pairs or singles and sometimes they look like pneumococci in the form of diplobacilli. It produces many capsules in environments that contain carbohydrates and lack nitrogen. This bacterium is non-motile and possess type 1, 2, and 3 fimbriae [7]. This bacterium is destroyed within 30 min at a temperature of 55 °C, but it survives for months in a dry place as well as when stored at room

\*Corresponding author. E-mail: shokouhamraei@gmail.com

temperature. These bacteria are facultative anaerobes and do not produce any hemolysis. The proper growth of these bacteria is at a temperature of 37 °C [8].

### Biochemical activities and antigenic structures

*K. pneumoniae* produces gas and acid from almost all sugars. Indole and gelatin are negative and citrate, urea, mannitol lysine decarboxylate is positive. Depending on the type of polysaccharide in the capsule, there are three capsular serological types A, B, and C in *K. pneumoniae*. Moreover, other three types of D, E, F among *K. pneumoniae* subsp *ozaenae* were added to this collection and reached more than 8 types in 1949 and were renamed in the following years. In the structure of these bacteria, there is antigen O, which has several different types [9].

### Pathogenic properties

*K. pneumoniae* is one of the important cases of community and hospital-acquired infections [10]. This bacterium is one of the most common hospital pathogens, which has a high mortality rate and causes various types of infections including pneumonia, septicemia, diarrhea, liver abscess, endophthalmitis, meningitis, urinary infections, and bacteremia, especially in four million babies die every year [11, 12]. The highest rate of death in infants is related to pneumonia, septicemia, meningitis, and diarrhea infections, infants are more vulnerable due to a lack of a complete immune system [13].

### Antibiotic resistance

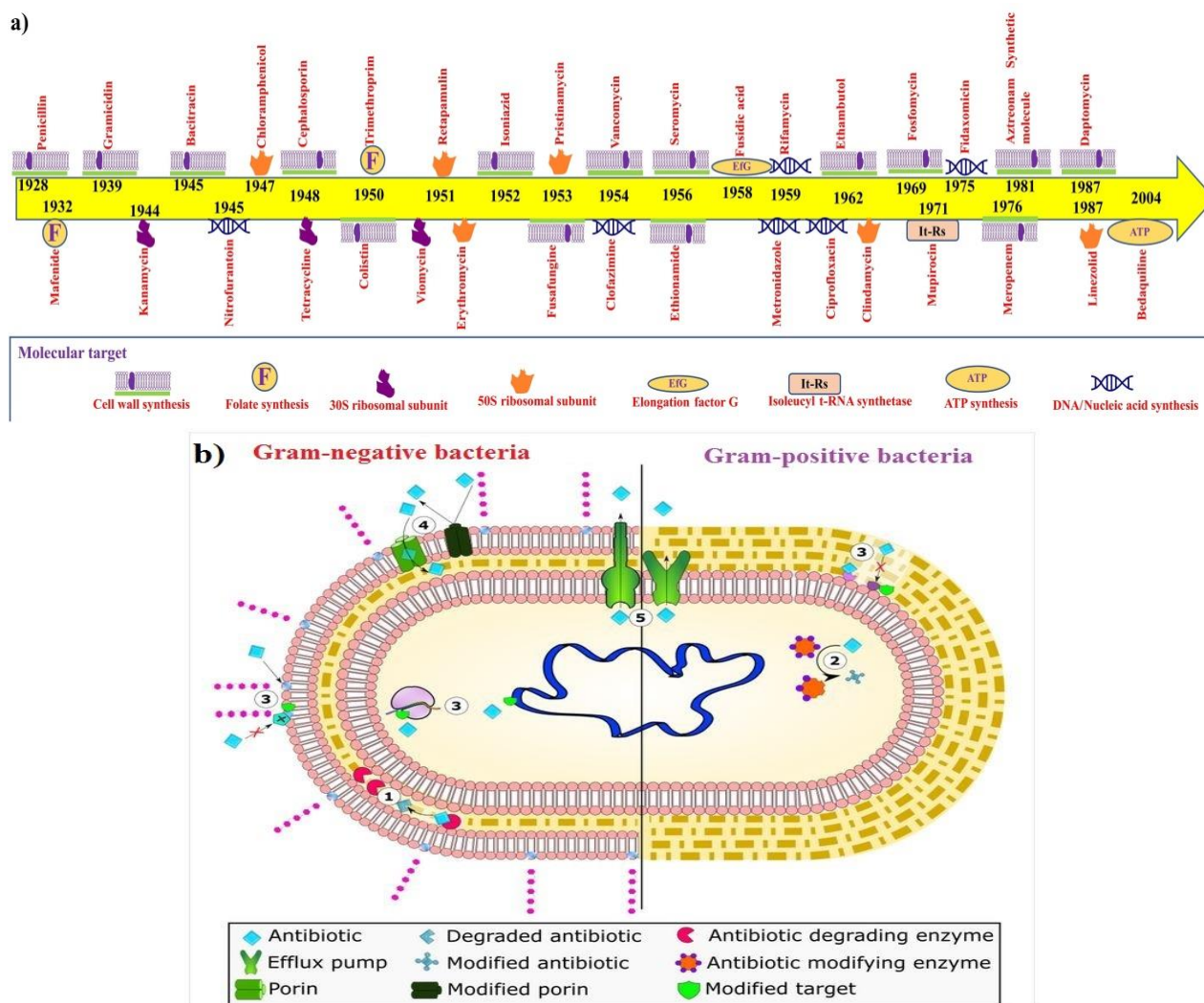
Treatment of infections in infants infected with organisms resistant to several drugs has become a serious problem [14-18]. The increasing trend of drug resistance among bacteria, especially hospital isolates, has limited the therapeutic options for treating infections caused by these opportunistic pathogens [19-23]. One of the resistance mechanisms in bacteria is beta-lactamases. These enzymes are considered as the main defense of Gram-negative bacteria against antibiotics [24]. Antibiotic resistance has always been a serious problem for human health and affects patients in hospitals all over the world. Therefore, the World

Health Organization designated 2011 as Named the year of antibiotic resistance [25]. This organization has given many recommendations to governments to control and prevent antibiotic resistance; which includes the assessment of antibiotic resistance, the correct use of antibiotics, the sale of antibiotics only with a doctor's prescription, control and prevention of infections [26]. Figure 1a illustrate biological macromolecule targets and timeline of antibiotics discoveries [1]. Gram-negative and Gram-positive bacteria can become resistant to antibiotics for several mechanisms, as shown in Figure 1b [27, 28]. The increasing emergence of drug resistance among hospital isolates of *K. pneumoniae* has limited the therapeutic options for treating infections caused by this bacterium. Most isolates of *K. pneumoniae* are resistant to several antibiotics [29]. Among the antibiotic resistance mechanisms, beta-lactamases are considered as the main defense of Gram-negative bacteria, especially *K. pneumoniae*, against beta-lactam antibiotics [30, 31]. According to Ambler, Bush-Jacoby, beta-lactamases are divided into four groups [32]. Table 1 illustrates the classification of beta-lactamases.

### Beta-lactamase

There are two main families of  $\beta$ -lactamases in bacteria: serine  $\beta$ -lactamases (function by acylation and deacylation reactions) and metallo- $\beta$ -lactamases (catalyze the hydrolysis of a wide spectrum of  $\beta$ -lactam antibiotics such as carbapenems) [33-35]. The different mechanisms of their families are responsible for their different behavior toward metal chelating substances such as ethylenediaminetetraacetic acid (EDTA), in which serine  $\beta$ -lactamases are not affected by these substances, but metallo- $\beta$ -lactamases are inhibited by these substances [36]. There are many differences between these two enzymes. The different mechanisms between these two enzymes cause the difference in their molecular structure and phylogeny [37]. Serine beta-lactamases belong to the acetyltransferases of the SxxK superfamily and are structurally and mechanistically related to penicillin-binding proteins [38]. Metallo- $\beta$ -lactamases were discovered in 1960, and since many genes encoding metallo- $\beta$ -lactamases were located on mobile genetic

elements, they quickly spread among Gram-negative bacteria [35].



**Fig. 1.** a) Biological macromolecule targets and timeline of antibiotics discoveries (adapted and modified from [1]). b) Several antibacterial mechanisms for Gram-negative and Gram-positive bacteria (adapted and modified from [27]).

### Beta-lactamase with a wide range

Beta-lactamases are usually classified based on two general schemes: 1-Ambler's molecular classification scheme 2-Bush-Jacoby-Medeiros functional classification system [39]. In Ambler's classification scheme, beta-lactamases are classified into 4 main classes: A, B, C and D. The basis of this classification is protein homology or amino acid similarity of these proteins and phenotypic characteristics are not considered in this type of classification [40]. In Ambler's classification, classes A, C, D are serine  $\beta$ -lactamases, while the enzymes in class B are actually metallo- $\beta$ -lactamases. The basis of classification in the Bush-Jacoby-Medeiros class is the functional similarities of enzymes, such

as enzyme-substrate characteristics or inhibitor characteristics [41, 42].

Bacteria with a wide spectrum of beta-lactamase are resistant to many antibiotics, including penicillin, first, second, and third generation cephalosporins, and aztreonam (except cephamycins and carbapenems) [43]. These enzymes are inhibited by clavulanic acid and have been seen in *Enterobacteriaceae*, *Pseudomonas*, and *Acinetobacter baumannii* bacteria [44]. According to the Bush-Jacoby classification, these enzymes are in group 2be (class A enzymes) and some others are in class 2d (class D enzymes). The genes encoding these enzymes are located on mobile genetic elements such as plasmids or chromosomes [45].

### Enzyme of AmpC

The genes encoding these enzymes are located on the chromosome or plasmid. Bacteria containing this enzyme can hydrolyze the antibiotics cephalothin, cefazolin, cefoxitin, most penicillins, and beta-lactam compounds that inhibit beta-lactamase [46, 47]. In many bacteria, Amp-C enzymes are inducible and expressed at high levels due to mutation. Very high expression of these enzymes makes bacteria resistant to cephalosporins including cefotaxime, ceftazidime, and ceftriaxone antibiotics [48]. In some bacteria such as *K. pneumoniae*, *Escherichia coli*, and *Proteus*, the gene coding for these enzymes is located on a plasmid. The resistance caused by these enzymes is less than beta-lactamases with a wide spectrum. These enzymes are in group C of beta-lactamase classification [49-51]. Gram-negative bacteria having AmpC  $\beta$ -lactamases are resistant to various antibiotics involving cephalosporins, aminopenicillins, ureidopenicillins, carboxypenicillins, monobactams, and cephalosporins [52].

ACT-1 gene was first detected in 1997 in America and in *K. pneumoniae* bacteria. ACT-1 gene is connected to ampR genes and can be induced. In addition, the expression level of this gene increases with the loss of ampD function [53]. *E. coli* strains containing ACT-1 that are defective in ampD are sensitive to imipenem, but *K. pneumoniae* isolates that have plasmid ACT-1 gene and lack outer membrane proteins are resistant to imipenem. Bacteria with this gene are resistant to cephalosporins and inhibition by clavulanic acid [54, 55]. So far, 37 variants (Table 1) of ACT have been identified in the world [56].

The FOX gene was first reported in 1994 from Argentina in *K. pneumoniae*. FOX-type beta-lactamase is 72% similar to CMY-type enzyme in these bacteria. FOX-1 was first detected in *K. pneumoniae* and FOX-2 in *Escherichia coli* bacteria. Two new members of the FOX family have been reported in Italy and Spain. In the United States, strains of *Escherichia coli* and *K. pneumoniae* producing FOX-type beta-lactamase have been reported. Bacteria containing this gene are resistant to cephalosporins and inhibition by clavonic acid. So

far, 12 types of FOX (Table 2) have been identified in the world [57].

**Table 1.** ACT type beta-lactamases.

Enzyme	Nucleotide
ACT-1	U58495
ACT-2	AM076977
ACT-3	EF125013
ACT-4	EU427302
ACT-5	FJ237369
ACT-6	FJ237366
ACT-7	FJ237368
ACT-8	Assigned
ACT-9	HQ693810
ACT-10	JN848330
ACT-11	Assigned
ACT-12	JX440355
ACT-13	HE819402
ACT-14	JX440354
ACT-15	JX440356
ACT-16	AB737978
ACT-17	KF992026
ACT-18	KF992028
ACT-19	KF992029
ACT-20	KF526117
ACT-21	KF526118
ACT-22	KF992027
ACT-23	KF515536
ACT-24	KJ207207
ACT-25	KJ207208
ACT-26	Withdrawn
ACT-27	KJ207209
ACT-28	KJ207206
ACT-29	KM087832
ACT-30	KM087833
ACT-31	KM087843
ACT-32	KM087835
ACT-33	KM987834
ACT-34	Assigned
ACT-35	LC004922
ACT-36	Assigned
ACT-37	Assigned

**Table 2.** FOX type beta-lactamases.

Enzyme	Nucleotide
FOX-1	X77455
FOX-2	Y10282
FOX-3	Y11068
FOX-4	AJ277535
FOX-5	AY007369
FOX-6	AY034848
FOX-7	AJ703795
FOX-8	HM565917
FOX-9	JF896803
FOX-10	JX049131
FOX-11	Assigned
FOX-12	Assigned

### Conclusions

Antibiotic resistance in the various strains of *K. pneumoniae* can be a complicated issue specifically in the case of health-care-associated bacterial infections. Opportunistic pathogenic bacteria of *K. pneumoniae* can resist a wide range of antibiotics causing health-care-associated bacterial infections, particularly in hospitalized patients. The transfer of productive genes from the effective use of microbiology laboratories for correct diagnosis and preventing the spread of resistant pathogens reduces the need to use unnecessary drugs. The existence of beta-lactamase genes ACT and FOX is one of the effective reasons for drug resistance. If effective measures are not taken to prevent the development of antibiotic resistance, perhaps bacterial resistance to cephalosporins such as carbonicillin and piperacillin will reach 100%. Beta-lactamase-producing bacteria with a wide spectrum are resistant to the antibiotic aztreonam.

### Study Highlights

- Antibiotic resistance in the various strains of *K. pneumoniae* can be a complicated issue in health-care-associated bacterial infections.
- Beta-lactamase-producing bacteria with a wide spectrum are resistant to the antibiotic aztreonam.
- Bacteria of *K. pneumoniae* can resist a wide range of antibiotics causing health-care-associated bacterial infections, particularly in hospitalized patients.

- The existence of beta-lactamase genes ACT and FOX is one of the effective reasons for drug resistance.

### Abbreviations

**EDTA:** Ethylenediaminetetraacetic acid

### Funding

This work was not supported by any institutes.

### Conflict of interest

The authors declare that they have no conflict of interest for this study.

### Ethical approval

This article does not contain any studies with animals or human participants.

### Authors' contribution

SA: conceptualization, preparing the first draft, and revising; GE, AT and AH: revising of the manuscript.

### Acknowledgment

None.

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#### HOW TO CITE THIS ARTICLE:

Amraei S, Eslami G, Taherpour A, Hashemi A. The role of ACT and FOX genes in *Klebsiella pneumoniae* strains isolated from hospitalized patients. *Micro Nano Bio Aspects*. 2022;1(2):18-25. doi: <https://doi.org/10.22034/mnba.2022.155447>

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